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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/628,880	07/31/2000	James S. Rosen	COMET-003XX	4970

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BOSTON, MA 02109

EXAMINER

DINH, KHANH Q

ART UNIT	PAPER NUMBER
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2155

DATE MAILED: 07/29/2003

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Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/628,880

Applicant(s)

ROSEN ET AL.

Examiner

Khanh Dinh

Art Unit

2155

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 14 May 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-27 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-27 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____.
- 4) ☐ Interview Summary (PTO-413) Paper No(s) _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

DETAILED ACTION

1. Claims 1-27 are presented for examination.

Duplicate Claims Notice

2. Applicant is advised that should claims *10 and 11* be found allowable, claims *10 and 11* will be objected to under 37 CFR 1.75 as being a substantial duplicate thereof. When two claims in an application are duplicates or else are so close in content that they both cover the same thing, despite a slight difference in wording, it is proper after allowing one claim to object to the other as being a substantial duplicate of the allowed claim. See MPEP § 706.03(k).

Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the

reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

4. Claims 1-27 are rejected under 35 U.S.C. 102(e) as being anticipated by Tripp et al, US pat. No.6,516,337.

As to claim 1, Tripp discloses a method for enabling information associations comprising:

parsing a document, said document including a number of objects (processing search queries from remote users) and forming a list of those of said objects in said document for which related information is available (see abstract, fig.2, col.8 line 60 to col.10 line 11 and col.11 lines 35-56).

detecting selection of one of said objects in said list by said user and searching a database searching in a database file for a product catalog, col.10 lines 24-61) for information regarding said selected one of said objects in said list and displaying information .from said database related to said selected one of said objects in said list (see col.11 line 14 to col.12 line 62 and col.14 lines 12-61).

As to claim 2, Tripp discloses providing visual indication to said user of those of said objects in said document within said list (see col.14 lines 12-61 and col.15 line 1 to col.16 line 37).

As to claim 3, Tripp discloses detecting an operation in which said document is loaded into an application program and wherein said forming of said list of said objects for which related information is available is responsive to said detecting of said operation in which said document

is loaded into said application program, and wherein said forming of said list comprises comparing objects within said document with entries in a dictionary data structure (using the data dictionary for each table in the database, see figs.20, 21, col.35 line 14 to col.15 line 65).

As to claim 4, Tripp one of said objects within said document in said list in the event that said object within said document matches one of said entries in said dictionary (see figs.20, 21, col.35 line 14 to col.15 line 65 and col.37 lines 12-67).

As to claims 5 and 6, Tripp discloses downloading of said document onto a local system using a browser program and loading said document into a word processor program (see col.11 line 14 to col.12 line 62 and col.16 lines 9-64).

As to claims 7 and 8, Tripp discloses loading said document into an email program and detecting select on of said indication of said at least one related resource by said user; and outputting content associated with said at least one related resource in response to said detecting selection of said indication of said at least one related resource (using an email containing in a brochure file for requesting data from a server, see col.10 line 5 to col.11 line 56).

As to claim 9, Tripp discloses at least one related resource comprises a uniform resource locator associated with said related resource (see col.10 lines 5-61).

As to claims 10 and 11, Tripp discloses at least one related resource from said database comprises streaming audio information (see col.19 line 10 to col.20 line 26).

As to claim 12, Tripp discloses monitoring user activities on a local system (using index server to process requests from the users, see col.24 lines 6-67) activities indicating whether a user of said local system is interested in said document and determining a rating of said document (using the list of rating values) in response to said monitoring of said activities on said local system and storing said rating of said document in association with said document, wherein said rating determines, at least in part, a likelihood that said document will be displayed in response to a search of said database (see col.7 lines 3-62 and col.35 line 14 to col.36 line 61).

Claims 13-24 are rejected for the same reasons set forth in claims 1-12 respectively.

Claims 25 and 27 are rejected for the same reasons set forth in claim 1.

Claim 26 is rejected for the same reasons set forth in claim 1. As to the added limitations, Tripp discloses a client computer system, said client computer system including a processor coupled to a memory (see figs.3, 9, col.6 lines 18-53 and col.11 line 14 to col.12 line 62).

Other prior art cited

5. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

a. Chang et al., US pat. No.5,958,016.

- b. Geshwind, US pat. No.6,507,872.
- c. Davis, Jr. Et al., US pat. No.6,552,732.
- d. Knowdles et al., US pat. No.5,905,863.
- e. Bradshaw et al., US pat. No.5,835,722.
- f. Cragun et al., US apt. no.5,5832,212.

Conclusion

- 6. Claims 1-27 are *rejected*.
- 7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Khanh Dinh whose telephone number is (703) 308-8528. The examiner can normally be reached on Monday through Friday from 8:00 A.m. to 5:00 P.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Alam Hosain, can be reached on (703) 308-6662. The fax phone numbers for this group are:

After Final: (703) 746-7238

Official: (703) 746-7239

Non-Official/ Draft: (703) 746-7240

A shortened statutory period for reply is set to expire THREE months from the mailing date of this communication. Failure to response within the period for response will cause the application to become abandoned (35 U. S. C . Sect. 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(A).

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 305 -9600.

Khanh Dinh
Patent Examiner
Art Unit 2155
7/24/2003

Patrice Winder
PATRICE WINDER
PRIMARY EXAMINER